

# SmartSolve® Audit Management

*Streamline company-wide audit management and bring transparency to remediation plans*

Efficient and consistent planning and execution of enterprise-wide audit programs are achieved through streamlined processes and robust tools. Automated checklists, email notifications, and comprehensive metrics foster clear activity ownership and transparency throughout every phase of the audit — planning, execution, and follow-up. With IQVIA's Audit Management, administrative tasks are automated, enabling professionals to dedicate more time to investigations and focus on achieving meaningful audit outcomes.

## Implement best-practice audit planning

Organize and approve audit plans across your organization and supply chain to streamline scheduling and resource allocation. Create audit programs that help manage schedules and resources, reducing time and labor costs throughout the audit process. Previous audit programs can also be copied for easy reuse during future planning cycles.

## Keep criteria consistent and in control

Auditors can establish distinct evaluation criteria for each type of audit they perform, ensuring consistency and clarity. Checklists created in Microsoft Excel are easily imported into the system, simplifying the process of adding, merging, revising, and approving audit checklists. This approach guarantees that auditors reference up-to-date standards during every audit.

## Improve audit efficiency

Policy setup makes it easy to create, schedule, and perform audits more quickly than ever before. Email



notifications, user-friendly dashboards, and Microsoft Outlook calendar integration provide quick access to schedules, open audits, and other pending tasks. During audit planning, the right auditors can be assigned to oversee the entire audit or to complete specific criteria sections, ensuring efficient and targeted execution.

## Enhance audit flexibility with SmartSolve® Mobile Audit

Audit Management's mobile application simplifies remote audits where a network connection may be limited or unavailable. Your audit team can leverage SmartSolve Mobile Audit and its streamlined mobile interface to download records and capture audit findings wherever your audit takes place. Whether on a manufacturing floor, in a lab, or off-site in a remote supplier facility, you can perform and review audits in an offline mode with no internet connectivity. This gives you the flexibility to easily conduct audits in the field and sync records with the enterprise system when a connection becomes available.

## Capture audit results and findings

A simple interface streamlines the process of recording checklist responses, specific findings, and auditor summaries. Flexible scoring systems can be defined to suit organizational needs, with audit results assigned based on numeric scores from checklist responses or findings. Integration with SmartSolve's supplier performance rating capabilities ensures that ongoing performance is reflected in each supplier's scorecard.

## Manage audit findings effectively

Documenting and categorizing audit findings becomes straightforward, with the ability to quickly assign issues to the appropriate individuals, departments, or organizations for prompt resolution. Immediate action plans address low-risk findings, while seamless integration with SmartSolve® CAPA Management supports thorough investigation and resolution of high-risk audit findings. A simple workflow ensures timely completion of responses and action plans.

## Manage follow-up for continuous improvement

A structured environment supports the management of audit findings and action plans, enabling KPIs to be tracked and trended for continuous improvement. Follow-up audits help measure the effectiveness of action plans and reduce the risk of recurring findings. Quick access to past audit results streamlines CAPA activities and future audit planning.

## About SmartSolve®:

SmartSolve is an AI-enabled, Microsoft Azure-based platform that helps Life Sciences organizations streamline and automate global quality management and regulatory compliance. [SmartSolve® eQMS](#) centralizes enterprise-wide quality processes, from design and manufacturing to post-market surveillance, while [SmartSolve® RIM](#) manages regulatory submissions, product registrations and health authority interactions. Built on industry best practices, SmartSolve connects teams, data, and workflows in a single platform to drive an optimized focus on patient safety, product quality and commercial performance.

## Enhance visibility of audit results

Instant visibility into critical audit data helps save time and minimize risk, empowering teams to make informed decisions with confidence. Auditors can quickly generate final audit summary reports for distribution to all parties involved in the audit, ensuring transparency and facilitating effective communication throughout the organization.

## Secure audit records and data

SmartSolve allows you to automate your Audit Management process with the confidence that your data is secure. The system provides role-based security, powerful password authentication, and a complete audit trail. These features help you facilitate IT and industry compliance with requirements for electronic signatures and electronic records, such as FDA 21 CFR Part 11 and EU Annex 11.

## Reduce risk

Connectivity between Audit Management and other modules (Complaint, NC, CAPA, Change...) drives a clear chain of custody between connected quality activities. This allows an employee to enter the flow at any point and navigate to the relevant content in real time, thus reducing the risk of manual errors inherent in human-connected systems and ensuring companies remain ready for external audits and inspections at all times.